

**31A-23a-104. Application for individual license -- Application for agency license.**

- (1) This section applies to an initial or renewal license as a:
  - (a) producer;
  - (b) surplus lines producer;
  - (c) limited line producer;
  - (d) consultant;
  - (e) managing general agent; or
  - (f) reinsurance intermediary.
- (2) (a) Subject to Subsection (2)(b), to obtain or renew an individual license, an individual shall:
  - (i) file an application for an initial or renewal individual license with the commissioner on forms and in a manner the commissioner prescribes; and
  - (ii) pay a license fee that is not refunded if the application:
    - (A) is denied; or
    - (B) is incomplete when filed and is never completed by the applicant.
  - (b) An application described in this Subsection (2) shall provide:
    - (i) information about the applicant's identity;
    - (ii) the applicant's Social Security number;
    - (iii) the applicant's personal history, experience, education, and business record;
    - (iv) whether the applicant is 18 years of age or older;
    - (v) whether the applicant has committed an act that is a ground for denial, suspension, or revocation as set forth in Section 31A-23a-105 or 31A-23a-111;
    - (vi) if the application is for a resident individual producer license, certification that the applicant complies with Section 31A-23a-203.5; and
    - (vii) any other information the commissioner reasonably requires.
- (3) The commissioner may require a document reasonably necessary to verify the information contained in an application filed under this section.
- (4) An applicant's Social Security number contained in an application filed under this section is a private record under Section 63G-2-302.
- (5) (a) Subject to Subsection (5)(b), to obtain or renew an agency license, a person shall:
  - (i) file an application for an initial or renewal agency license with the commissioner on forms and in a manner the commissioner prescribes; and
  - (ii) pay a license fee that is not refunded if the application:
    - (A) is denied; or
    - (B) is incomplete when filed and is never completed by the applicant.
  - (b) An application described in Subsection (5)(a) shall provide:
    - (i) information about the applicant's identity;
    - (ii) the applicant's federal employer identification number;
    - (iii) the designated responsible licensed individual;
    - (iv) the identity of the owners, partners, officers, and directors;
    - (v) whether the applicant has committed an act that is a ground for denial, suspension, or revocation as set forth in Section 31A-23a-105 or 31A-23a-111; and
    - (vi) any other information the commissioner reasonably requires.

Amended by Chapter 290, 2014 General Session  
Amended by Chapter 300, 2014 General Session